



08-20-03

RCE/1680<sup>0</sup>  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. Morgan et al.

Serial No.: 09/751,423 Examiner: Willie, Douglas A.

Filed: December 29, 2000 Group Art Unit: 2814

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: H16-26549 (1139.1149101 formerly 1100.1114101)

**TRANSMITTAL SHEET**

**Mail Stop RCE**

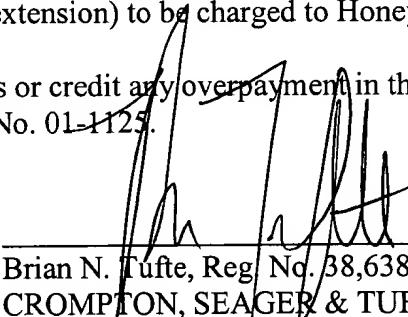
Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

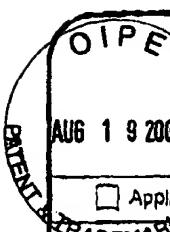
**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV315608921US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 19th day of August, 2003.

By Lynn Thompson  
Lynn Thompson

We are transmitting herewith the attached:

- Request for Continued Examination (RCE)
- Request for a Three-Month Extension of Time.
- Preliminary Amendment in response to final office action of February 19, 2003
- No additional fee required
- Copies of 2 previously submitted 1449s.
- Fee Transmittal For FY 2003 authorizing payment in the amount of \$1680.00 (\$750 RCE ) (\$930 3-month extension) to be charged to Honeywell Deposit Account 01-1125.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

  
Brian N. Tufte, Reg. No. 38,638  
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Minneapolis, MN 55403-2402  
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**Fee Transmittal  
For FY 2003**

Fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$1680.00

**COMPLETE IF KNOWN**

Application No.	09/751,423
Filing Date	December 29, 2000
First Named Inventor	Robert A. Morgan
Group Art Unit	2814
Examiner Name	Willie, Douglas A.
Attorney Docket No.	H16-26549 (1139.1149101)

**METHOD OF PAYMENT (check all that apply)**

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
<input checked="" type="checkbox"/> Deposit Account			
Deposit Account Number 01-1125			
Deposit Account Name Honeywell International Inc			
The Commissioner is hereby authorized to deduct on that apply			
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayment			
<input type="checkbox"/> Charge any additional fee(s) during the pendency of the application			
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above designated deposit accounts			

**FEES CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	66	Surcharge - late filing fee or cash	
1052	50	2052	23	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1813	2,250	For filing a request for ex parte reexamination	
1804	820*	1804	820*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2261	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,460	2254	725	Extension for reply within fourth month	
1255	1,870	2255	885	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	920	2402	160	Filing a brief in support of an appeal	
1403	200	2403	140	Request for oral hearing	
1451	1,610	1451	1,610	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,500	2453	650	Petition to revive - unintentional	
1501	1,300	2501	860	Utility issue fee (or review)	
1502	470	2502	235	Design issue fee	
1503	830	2503	375	Plant issue fee	
1400	130	1400	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(d)	
1806	180	1808	180	Submission of information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1508	750	2808	375	Filing a continuation after final rejection (37 CFR 1.129(a))	
1610	250	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1501	250	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Purification fee	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	\$ 1680.00

\*\* number previously paid if greater, see above for Reduction

**SUBMITTED BY**

Name (Print / Type)	Anthony Malagos	Registration No (Attorney/Agent)	29,677	Telephone	602-313-5683
Signature	Anthony Malagos 8/19/03				

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

**Burden Hour Statement:** This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231